

2026 Compliance Best Practices Checklist

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Find out if your fleet is using the most efficient and effective practices for compliance management. Just answer “Yes” or “No” to each question, then refer to the scoring guide.

- Y N Does your company actively promote a culture of safety to its workforce?
- Y N Do you have a process or system for keeping your entire team updated on the latest safety regulations?
- Y N Have you moved beyond relying on paper files for day-to-day compliance management?
- Y N Have you moved beyond relying on spreadsheets for day-to-day compliance management?
- Y N Do you have a centralized software platform for storing and managing driver qualification (DQ) files?
- Y N Are your DQ files stored in a secure, ISO-certified, SOC2 environment?
- Y N Do your drivers receive automatic alerts about expiring compliance documents, and policies that need to be signed?
- Y N Can your drivers sign documents and policies remotely by e-signature?
- Y N Can your drivers update their own compliance documents through a secure online portal?

- Y N Is your safety team automatically alerted when drivers have compliance documents at risk of expiring?
- Y N Can you easily assign and track the completion of orientation training, remedial training and/or corrective action training?
- Y N Are you able to spend the majority of your time focusing on strategic initiatives, or is most of your time occupied by manual compliance management tasks?
- Y N If you contract owner operators, do you have a system for tracking their compliance documents and equipment maintenance?
- Y N Are you part of an insurance captive (a group self-insurance program)?
- Y N In case of a DOT audit, are you able to quickly grant an auditor access to the information they need, and ONLY the information they need?

Scoring Guide

14-15 "Yes" Answers



Nice job! You're all over it. You have efficient and effective compliance practices in place.

10-13 "Yes" Answers



You're falling a little behind the game. Consider implementing additional compliance processes and systems to avoid disruptions.

0-9 "Yes" Answers



You're at an elevated risk of DOT non-compliance disruptions. Consider taking urgent action to improve your compliance management practices.

TruckRight software makes fleets fully compliant.

Get in touch to learn how our software can help you streamline compliance, with the industry's best automated driver qualification files.



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This checklist is intended for informational purposes. It should not be construed as legal advice.